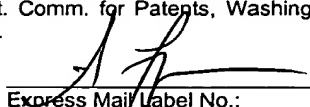


I hereby certify that this paper is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Asst. Comm. for Patents, Washington, D.C. 20231, on this date.

9-27-00
Date


Express Mail Label No.:
EL409506475US

004260" 80072960

SPECIFICATION

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT WE, Akira Tsuneya, a citizen of Japan residing at Kawasaki, Japan and Masato Nitta, a citizen of Japan residing at Kawasaki, Japan have invented certain new and useful improvements in

APPARATUS AND METHOD FOR MANAGING NETWORK AND COMPUTER-READABLE RECORDING MEDIUM THEREOF

of which the following is a specification : -

TITLE OF THE INVENTION

APPARATUS AND METHOD FOR MANAGING NETWORK
AND COMPUTER-READABLE RECORDING MEDIUM THEREOF

5 BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention generally relates to
apparatuses for managing a network, methods for
managing the network and computer-readable recording
10 media having a program recorded thereon for causing a
computer to manage the network, and more particularly
to an apparatus for managing a network, a method for
managing the network and a computer-readable
recording medium having a program recorded thereon
15 for causing a computer that monitor devices connected
to the network to manage the network.

2. Description of the Related Art

Conventionally, in order to handle
problems of a distributed system, an agent, which is
20 a program for monitoring computers and network
devices (such as a router, a hub or the like) that
are connected to a network, is provided to each
device in the network. A management server collects
configuration information from each agent and display
25 a map based on the configuration information. The
management server receives a problem event, such as a
SNMP (Simple Network Management Protocol) trap, sent
by the agent when a problem has occurred. Then, the
management server blinks an icon indicating the
30 configuration information corresponding to the device
where the problem event occurred, so as to notify an
administrator of the problem with that device.

Thus, in a case in which a plurality of
devices (routers, hubs, computers, and the like) are
35 connected to a network, a problem occurring at a
single device may influence other devices. As a
result, an icon indicating by the configuration

002260-80012550

information corresponding to each of the other devices ends up blinking. This makes it difficult to distinguish which device originally caused the problem. Since special knowledge is required to
5 specify which device originally caused the problem, it is difficult to immediately deal with the problem.

Further, the network structure and connected devices (routers, hubs, computers, and the like) to be managed may change. It is desired to
10 recognize this change and automatically specify a problem device.

SUMMARY OF THE INVENTION

It is a general object of the present
15 invention to provide an apparatus for managing a network, a method for managing the network and a computer-readable recording medium having a program recorded thereon for causing a computer to manage the network in which the above-mentioned problems are
20 eliminated.

A more specific object of the present invention is to provide an apparatus for managing a network, a method for managing the network and a
25 computer-readable recording medium having a program recorded thereon for causing a computer to manage the network, which can notify an administrator of a device actually causing a problem in the network.

The above objects of the present invention are achieved by an apparatus for monitoring devices
30 connected to a network, including: a relationship object maintaining part maintaining dependent information for each relationship between devices connected to the network, the dependent information indicating how one device influences another device
35 when the one device causes a problem; an event table maintaining part maintaining device information, which identifies a device in the network, indicated

09671008-09200

According to the present invention, the
15 device that actually causes a problem can be
specified and it is possible to inform the
administrator which device causes the problem.

According to the present invention, it is

configuration according to an embodiment of the present invention;

FIG.2 is a diagram illustrating a display according to the present invention;

5 FIG.3 is a diagram for explaining objects according to the present invention;

FIG.4 is a flowchart for explaining operations according to the present invention;

10 FIG.5 is a flowchart for explaining a rule process for providing an additional node;

FIG.6 is a flowchart for explaining a process for generating a relationship object according to the present invention;

15 FIG.7 is a diagram showing a class structure according to the present invention;

FIG.8 is a diagram showing a rule for generating a relationship according to the present invention;

20 FIG.9 is a flowchart for explaining a process for additionally provide a hub according to the present invention;

FIG.10A is a diagram illustrating an instance (management object) of a hub, FIG.10B is a diagram illustrating an instance (management object) of a machine, and FIG.10C is a diagram illustrating an instance (management object) of a hub-machine;

FIG.11 is a flowchart for explaining a process for monitoring the devices according to the present invention;

30 FIG.12 is a diagram showing an event table according to the present invention; and

FIG.13 is a block diagram of a hardware configuration that implements an apparatus for managing a network according to the present invention.

35

DESCRIPTION OF THE PREFERRED EMBODIMENTS

An embodiment including operations of an

002260" 80072950

FIG.1 is a diagram of a system

In FIG.1, a server 1, as an apparatus for managing a network according to the present invention, monitors routers, hubs, and machines connected to network as devices to be managed and includes a relationship information generating part 2, a configuration information collecting part 3, an event correlating part 4, an event collecting part 5 and a relationship information generating rule 6.

The relationship information generating part 2 is used to generate relationship information between the devices to be managed (for example, routers, hubs and machines). The relationship information generating part 2 further stores the relationship information as a relationship object 9 of an object database (object DB).

The event correlating part 4 is used to check and select a relationship between events. That is, the event correlating part 4 refers to the relationship object 9 corresponding to each event sent from agents 21 shown in FIG.2 under management control, and checks and selects the relationship

between the events.

The event collecting part 5 is used to collect the events from the agents 21 managing the devices.

5 The relationship information generating rule 6 is a rule for automatically generating relationship information between the devices to be managed (Refer to FIG.8).

10 The object DB 7 is used to register and manage objects. That is, the object DB 7 registers and manages the management objects 8 and the relationship objects 9.

15 The management object 8 is used to register and manage the configuration information of each device to be managed (Refer to FIG.10A and FIG.10B).

 The relationship object 9 is used to register relationship information between the devices to be managed (Refer to FIG.10C).

20 An event table 10 stores events received from the agents 21 managing the devices (Refer to FIG.11 and FIG.12). Operations of the server 1 will be now described.

25 The event collecting part 5 receives and collects the events sent from the agents 21 shown in FIG.2. The event correlating part 4 refers to a dependent relationship stored in the relationship object 9 and distinguishes a problem event indicating that a device is actually causing a problem.

30 Thereafter, the event correlating part 4 outputs the problem event (for example, an icon representing the device is blinked at a display unit to indicate where the problem is occurring).

35 When the server 1 is notified that a device to be managed is changed or additionally provided, information in the management object 8 is changed or information of the additional device is

002260-80074960

[illegible]

Accordingly, by providing the management object 8 managing the device and the relationship object 9 managing the dependent relationship between the devices, it is possible to add or change the device to be managed, and also specify and display the device actually causing a problem based on the events.

FIG.2 is a diagram illustrating a display according to the present invention. In FIG.2, a large display window as a main display window displays icons representing a router 11, hubs 12 and 14, and machines 13 and is based on configuration information for each device to be managed (for example, routers, hubs, machines and the like) in the management object 8. The main display window further displays arrows indicating dependent relationships based on the relationship object 9.

A small display window positioned at a lower left side is a sub-display window for indicating a dependent relationship between the devices to be managed. In this case, when the hub 12 indicated by a letter (a) and the machine 13 indicated by a letter (b) are clicked and selected by a user, the hub 12 and the machine 13 are displayed as a left icon and a right icon, respectively, in the sub-display window as indicated by dotted lines from the main display window. The user selects any one of the following settings for "INFLUENCE ON THE LEFT DEVICE WHEN A PROBLEM OCCURS AT THE RIGHT DEVICE" at an upper part of the sub-display window:

- CRITICAL
- 15 • LESS CRITICAL
- NO INFLUENCE

In this case, it is assumed that "NO INFLUENCE" is selected. Similarly, the user selects any one of the following settings for "INFLUENCE ON THE LEFT DEVICE WHEN A PROBLEM OCCURS AT THE RIGHT DEVICE" at a lower part of the sub-display window:

- CRITICAL
- LESS CRITICAL
- NO INFLUENCE

25 In this case, it is assumed that "CRITICAL" is selected. Information selected as shown in the sub-display window is registered as a hub-machine instance (the relationship object 8) as shown in FIG.10C described later. By this selection, an arrow (c) indicated by a solid line from the hub 12 to the machine 13 is displayed in the main display window in FIG.2.

As described above, the icons representing the devices connected to the network and being managed can be displayed on the main display window based on the configuration information of the management object 8. Moreover, the sub-display

002230-092700

5

10

15

25

30

35

additionally displayed. That is, it is possible to dynamically display added devices. Details thereof will now be described.

FIG.4 is a flowchart for explaining
5 operations according to the present invention. FIG.4 shows steps for generating a relationship object by using the main display window and the sub-display window in FIG.2.

10 In a step S1 of FIG.4, a user clicks on an icon.

In a step S2, a process menu is displayed. That is, in response to the click on the icon, the process menu (including a relating process in this case) is displayed.

15 In a step S3, it is checked whether or not the relating process is selected from the process menu displayed in the step S2. When the relating process is selected (YES), a step S4 is executed. When the relating process is not selected (NO),
20 another process that is selected by the user is executed in a step S8.

In the step S4, a destination device to be related is selected. That is, the user selects devices to be managed in order to create the
25 relationship object 9 by clicking on, for example, the icon of the hub 12 indicated by the letter (a) and the icon of the machine 13 indicated by the letter (b).

30 In a step S5, the sub-display window is displayed for the relationship information. That is, the two devices selected in the step S5 to be managed (in this case, the icon of the hub 12 indicated by the letter (a) and the icon of the machine 13 indicated by the letter (b)) are displayed, and the
35 following information is displayed for the user to indicate the dependent relationship.

• for "INFLUENCE ON THE LEFT DEVICE WHEN PROBLEM

OCCURS AT THE RIGHT DEVICE"

- CRITICAL
- LESS CRITICAL
- NO INFLUENCE

5 • for "INFLUENCE ON THE RIGHT DEVICE WHEN
PROBLEM OCCURS AT THE LEFT DEVICE"

- CRITICAL
- LESS CRITICAL
- NO INFLUENCE

10 In a step S6, the dependent relationship
is selected. With regard to the above two directions
(left to right and right to left) displayed in the
sub-display window on the lower left side, it is
assumed that "CRITICAL" and "NO INFLUENCE" are
15 selected for "INFLUENCE ON THE LEFT DEVICE WHEN
PROBLEM OCCURS AT THE RIGHT DEVICE" and "INFLUENCE ON
RIGHT DEVICE WHEN PROBLEM OCCURRED AT LEFT DEVICE",
respectively.

20 In a step S7, an instance for a hub-
machine class is generated. That is, an instance (a
relationship object 9) for a hub-machine class
described in FIG.10C is generated.

As described above, after the relating
process is selected from the process menu, devices to
25 be managed (for example, the hub 12 indicated by the
letter (a) and the machine 13 indicated by the letter
(b)) are selected to define a relationship. Then,
the sub-display window in FIG.2 is displayed. Thus,
the dependent relationships can be selected on the
30 sub-display window (in this case, "CRITICAL" and "NO
INFLUENCE" are selected for "INFLUENCE ON LEFT DEVICE
WHEN PROBLEM OCCURRED AT RIGHT DEVICE" and
"INFLUENCE ON THE RIGHT DEVICE WHEN PROBLEM OCCURS AT
THE LEFT DEVICE"). Then, it is possible to generate
35 a relationship object 9 such as shown in FIG.10C
described later.

FIG.5 is a flowchart for explaining a rule

00000-00000000

5 In a step S11 of FIG.5, a rule for adding
a node is executed.

In a step S13, it is checked whether or not the same MAC address is found. In this case, it is determined that the same MAC address is found (YES) since the hub 14 has the same MAC address as the machine 15. In a step S14, an instance of a hub-machine class (the relationship object 9 described later in FIG.10C) is automatically generated in accordance with steps S21 through S24.

30 In a step S22, an object ID=03 for a
machine is substituted for an object ID2. That is,
the object ID=03 for the machine 15 as a child in
FIG.2 is input in a column of the object ID2 (child)
of the relationship object 9 in FIG.10C so that the
35 object ID=03 is registered.

In a step S23, "CRITICAL" is substituted for a dependence 1->2. That is, in accordance with a

5 "CRITICAL" is input in a column of the dependence 1-
 >2 so that a dependent relationship from the hub 14
 as a parent to the machine 15 as a child in FIG.2 is
 "CRITICAL".

As described above, when devices to be managed (routers, hubs, machines or the like) are additionally provided, in accordance with a corresponding rule (for example, when a machine is additionally connected to a hub, the rule in FIG.8 described later is used), it is possible to automatically create a relationship object 9 for registering a dependent relationship between the additional device and another device to be managed.

In a step S31 of FIG.6, a management object 8 for registering a device detected in another process is created. That is, for example, the process creates a management object 8 (such as a management object 8 in FIG.10A or FIG.10B) indicating that the configuration information of a device to be managed, which device is additionally provided and

detected in the network, is registered.

In a step S32, additional information is received. That is, the process receives additional information (such as a MAC address and the like)

5 necessary for creating a relationship object 9 from the agent 21 arranged for monitoring the devices.

In a step S33, a class is distinguished. That is, the process distinguishes a class for the detected device and another device creating the
10 dependent relationship.

In a step S34, a rule is searched for. That is, the process searches for a rule applying to the class distinguished in the step S33. For example, a rule in FIG.8 applying to the class for a hub and a
15 machine is retrieved.

In a step S35, the rule is executed. That is, a relationship object 9 is automatically created by the rule applying to the class, which rule was found in the step S34. For example, the relationship
20 object 9 shown in FIG.10C is created in accordance with the rule in FIG.8. Then, the steps S34 and S35 are repeated to complete all relationship objects 9.

As described above, when a management object 8 is detected, a rule for a device
25 corresponding to the management object 8 and another a device directly connected thereto is applied and then dependent relationship between the two devices is generated as a relationship object 9.

FIG.7 is a diagram showing a class
30 structure according to the present invention. At least the following information as shown in FIG.7 is registered.

- Hub class:

- Object ID

- Port No[]

- MAC Address[]

- Hub-MachineRel class:

- Object ID1
- Object ID2
- Dependency (dependent relationship)
- Machine class:
 - Object ID
 - MAC Address[]
 - . . .

It should be noted that the information described above is shown in FIG.7.

Therefore, the class structure is used. Instances of the hub class and the machine class (for example, see FIG.10A and FIG.10B) are defined as the management objects 8 (for example, see FIG.10A and FIG.10B) and the instance of the Hub-MachineRel class is defined as the relationship object 9 (for example, see FIG.10C).

FIG.8 is a diagram showing a rule for generating relationship according to the present invention. The rule is used to automatically generate a relationship object 9 between a hub and a machine. The first few lines:

```
• CLASS 1 OF MANAGEMENT OBJECT TO BE MANAGED:
  Hub CLASS
• CLASS 2 OF MANAGEMENT OBJECT TO BE MANAGED:
  Machine CLASS
define a generating rule for a relationship object 9
for the Hub class and the Machine class. In the same
way, another relationship object 9 for other classes
is defined.
```

A condition is defined as follows:

```
• CLASS OF RELATIONSHIP OBJECT TO BE GENERATED
WHERE A MAC Address PROPERTY FOR CLASS 1 IS THE SAME
AS A MAC Address PROPERTY FOR CLASS 2.
```

When the condition above is true, a Hub-MachineRel class is generated. Properties of the relationship object 9 are registered as follows:

```
• Dependency PROPERTY <- INSTANCE OF CLASS 2
```

DEPENDS ON INSTANCE OF CLASS 1

• Dependency PROPERTY <- INSTANCE OF CLASS 1
DOES NOT INFLUENCE INSTANCE OF CLASS 2

In accordance with the rule shown in FIG.8,
5 the relationship object 9 where the hub and the machine are mutually connected is generated. For example, the relationship object 9 shown in FIG.10C can be automatically created.

FIG.9 is a flowchart for explaining a
10 process for additionally providing a hub according to the present invention.

In a step S41 of FIG.9, a rule for additionally provide a hub is executed.

In a step S42, a hub having the same MAC
15 address is searched for. That is, for example, when the hub 14 in FIG.2 is additionally provided, a hub having the same MAC address as the hub 14 is searched for. In the case of FIG.2, the hub 12 indicated by the letter (a), which is above the hub 14, is found.
20 The same MAC address as the hub 14 additionally provided is searched for from port information managed in the management object 8 of the hub 12 indicated by the arrow (a) where any one of ports is connected to the hub 14.

25 In a step S43, it is judged whether or not the hub having the same MAC address as the hub 14 is found. When it is judged that the hub having the same MAC address as the hub 14 is found (YES), the process advances to a step S44. On the other hand,
30 when it is judged that the hub having the same MAC address as the hub 14 is not found (NO), the process is terminated since there is no hub having the same MAC address as the hub 14.

In the step S44, an instance for a hub-hub
35 class is generated. A relationship object 9 for a dependent relationship between hubs is generated by executing steps S51 through S54.

002260-80072960

In a step S51, an object ID of a parent hub is substituted for an object ID1 (parent). Similarly to the relationship object 9 in FIG.10C, the object ID of the parent hub is input in a column of the object ID1 (parent) of the instance (relationship object 9) of the hub-hub class so as to be registered.

In a step S52, an object ID of a child hub is substituted for an object ID2 (child). Similarly to the relationship object 9 in FIG.10C, the object ID of the child hub is input in an column of the object ID2 (child) of the instance (relationship object 9) of the hub-hub class so as to be registered.

In a step S53, "CRITICAL" is substituted for the dependence 1->2. That is, "CRITICAL" is substituted for the dependence 1->2 in accordance with a rule for generating the instance (relationship object 9) of the hub-hub class that is similar to a rule for automatically generating an instance (relationship object 9) of the hub-machine class in FIG.8. In other words, the dependent relationship from the parent hub to the child hub is defined as "CRITICAL", similarly to the column of the dependence 1->2 of FIG.10C.

In a step S54, "NO INFLUENCE" is substituted for the dependence 2->1. That is, similarly to the column "DEPENDENCE 2->1" in FIG.10. Therefore, when a device (hub) to be managed is additionally provided to connect to another device (hub) to be managed, it is possible to automatically generate a relationship object 9 to register a dependence relationship between the device (hub) to be managed and another device (hub) to be managed, in accordance with a rule corresponding to a class of the two devices.

In a step S45, a machine having the same MAC address X is searched for.

004260" 80072960

5

10

15

20

- 25

30

35

relationship object 9 where the dependent relationship between the two devices is registered.

FIG.10B is a diagram showing an instance (management object 8) of a machine. The

5 configuration information is registered in the instance (management object 8) of the machine.

- hub ID: machine 0
- object ID: 03
- MAC address: Mac1

10 As described above, the object ID and the MAC address are registered in the management object 8 of the machine. Based on the MAC address such as described in flowcharts of FIG.5 and FIG.9, it is possible to automatically determine that two devices
15 are mutually connected when the MAC address of the device to be managed corresponds to that maintained in the destination hub. Also, it is possible to automatically generate the relationship object 9 where the dependent relationship between the two
20 devices is registered.

FIG.10C is a diagram showing an instance (relationship object 9) of a hub-machine class. In FIG.10C, the instance (relationship object 9) between the devices described in FIG.10A and FIG.10B shows a
25 registration of the configuration information described therein.

- hub ID: hub-machine 0
- object ID1 (parent): 02 (object ID for a device to be managed as a parent)
- 30 • object ID2 (child): 03 (object ID for a device to be managed as a child)
- dependence 1->2 (dependent relationship from the parent to the child): "CRITICAL"
- dependence 2->1 (dependent relationship
35 from the child to the parent): "NO INFLUENCE"

As described above, the dependent relationship from the parent to the child and the

004260"800T2960

process finds the relationship object 9 as shown in FIG.10C including information of the device (object ID), which is included in the event received in the step S61, it is determined based on the dependent relationship determined in the relationship object 9 whether or not the event for the registered management object 8 is defined in the event table 10 in FIG.12. When the event is defined, the suppress-flag is set to "ON" so that an alarm for the icon of the device corresponding to the event is suppressed. When the dependent relationship in the relationship object 9 is "CRITICAL" and another event for another device in a connection direction has been previously received and registered in the event table 10 in FIG.12, the suppress-flag is set to "ON" so as not to display the alarm. Therefore, it is possible to make an alarm based on an event extracted from all events received, which event indicates where the problem is actually caused.

FIG.12 is a diagram showing an event table according to the present invention. Every event sent from the agents 21 connected to the network is registered in the event table 10. In accordance with the flowchart in FIG.11, when an event is registered, based on the registered relationship object 9 of the management object 8 defined in the received event, the suppress-flag of the event of the management object 8 for an influenced device is set to "ON" so as not to make an alarm. On the other hand, an icon representing a device for which the suppress-flag is set to "OFF" is blinked on the main display window in FIG.2. Accordingly, only an icon representing a device actually causing a problem is blinked so that the administrator can easily realize and specify the device causing the problem.

FIG.13 is a block diagram of a hardware configuration that implements the server as the

002260-00072960

The server 1 includes a CPU 11, a memory unit 12, an output unit 13, an input unit 14, a display unit 15, a storage unit 16, a CD-ROM driver 17 and a communication unit 18 which are mutually connected by a bus B. The CPU 11 controls the entire system in accordance with a program resident in the memory unit 12. In addition, the CPU 11 executes the process for defining a relationship between two devices, the rule process for providing an additional node and for monitoring the devices that are described above. The memory unit 12 includes a ROM and a RAM. Also, the memory unit 12 temporarily stores programs, events sent from the agents 21, various data and the like during the execution of the processes. The output unit 13 includes a printer or the like. The input unit 14 includes a keyboard and a mouse for the administrator to input information into the system, for example, in order to setup the network system, but is not limited to only these input devices.

The storage unit 16 includes a hard disk unit and stores various data and programs. Also, the storage unit 16 is used for the object DB 7 and the event table 10 in FIG.1. In accordance with instructions from the CPU 11, the CD-ROM driver 17 reads information from a CD-ROM 20 set in the CD-ROM driver 17 and then provides the information to the storage unit 16. For example, various programs according to the present invention are provided by the CD-ROM 20. That is, the programs read from the CD-ROM 20 are installed in the storage unit 16 through the CD-ROM driver 17. It should be noted

that a recording medium is not limited to a CD-ROM, but other computer-readable recording media such as a magnetic disk, a magnetic tape, an optical disk, a magneto optical disk, a semiconductor memory or the like may be used.

The communication unit 18 is used to receive or send information concerning events from or to the agents 21.

As described above, according to the present invention, the management object 8 for managing the information related to the devices connected to the network and the relationship object 9 for managing the dependent relationships between the devices are provided. The processes for additions and changes of the devices are dynamically executed. Moreover, the device that actually causes a problem can be specified and the alarm is displayed so as to inform the administrator that the problem is occurring at the device. Therefore, even in the distributed network system where a device configuration is dynamically changed or a device is additionally provided, it is possible to specify a device (a router, a hub, or a machine), which actually causes a problem, based on the events sent from the devices being managed and to inform the administrator of the problem on the display.

The present invention is not limited to the specifically disclosed embodiments, variations and modifications, and other variations and modifications may be made without departing from the scope of the present invention.

The present application is based on Japanese Priority Application No. 11-306365 filed on October 28, 1999, the entire contents of which are hereby incorporated by reference.

004260-80072960